

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER  
**05270/HG**

U.S. APPLICATION NUMBER (if known, see 37 CFR 1.5)  
**10/532027**

INTERNATIONAL APPLICATION NO.  
**PCT/JP2004/005621**

INTERNATIONAL FILING DATE  
**20 April 2004**

PRIORITY DATE CLAIMED  
**23 April 2003; 23 January 2004**

TITLE OF INVENTION  
**MATERIAL FOR ORGANIC ELECTROLUMINESCENT ELEMENT, ORGANIC ELECTROLUMINESCENT ELEMENT, ILLUMINATOR AND DISPLAY DEVICE**

APPLICANT(S) FOR DO/EO/US  
**Eisaku KATOH; Hiroshi KITA; Tomohiro OSHIYAMA; Mitsuhiro FUKUDA; Yoshiyuki SUZURI; and Noriko UEDA**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment. **to remove multiple dependent claims**
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☒ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:

Copy of:

- (i) NOTIFICATION PCT/IB/304
- (ii) NOTICE PCT/IB/308
- (iii) WO 2004/095891 A1 (title page only), the publication of PCT/JP2004/005621
- (iv) REQUEST FOR PUBLICATION OF ASSIGNMENT INFO.
- (vi) 3 sheets Drawings (Figs. 1-4)

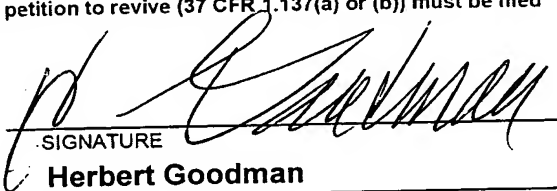
**Express Mail Label No. EV 478 459 254 US**

**Date of Deposit: April 21, 2005**

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above with sufficient postage, and is addressed to the Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450

*Lori Valdes*  
Lori Valdes

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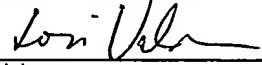
U.S. APPLICATION NO. <b>10/532027</b> <small>(if known, see 37 CFR 1.5)</small>		INTERNATIONAL APPLICATION NO. <b>PCT/JP2004/005621</b>		ATTORNEY'S DOCKET NUMBER <b>05270/HG</b>	
21. The following fees are submitted:					
<input checked="" type="checkbox"/> a) Basic national fee..... \$300.00				\$ 300.00	
<input checked="" type="checkbox"/> b) Examination fee..... \$200.00				\$ 200.00	
<input checked="" type="checkbox"/> c) Search fee..... \$500.00				\$ 500.00	
<b>TOTAL OF ABOVE CALCULATIONS = \$1000.00</b>				\$ 1000.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
83 - 100 =	0 /50 =	0	x \$250.00	\$ .00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ .00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	13 - 20 =	0	x \$50.00	\$ .00	
Independent claims	1 - 3 =	0	x \$200.00	\$ .00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$ .00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 1.000.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ .00	
<b>SUBTOTAL =</b>				\$ 1.000.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ .00	
<b>TOTAL NATIONAL FEE =</b>				\$ 1.000.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 40.00	
<b>TOTAL FEES ENCLOSED =</b>				\$ 1.040.00	
				Amount to be refunded:	\$ .00
				Amount to be charged:	\$ .00
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>1.040.00</u> to cover the above fees is enclosed.					
b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>06-1378</u> . A duplicate copy of this sheet is enclosed.					
d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO:					
<b>CUSTOMER NO. 01933</b>					
Herbert Goodman Frishauf, Holtz, Goodman & Chick P.C. 767 Third Avenue 25 <sup>th</sup> Floor New York NY 10017-2023					
				SIGNATURE  <b>Herbert Goodman</b>	
				NAME <b>17,081</b> REGISTRATION NUMBER	

**IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE**

Appl. No. : (U.S. National Phase Appln.  
based on PCT/JP2004/005621  
Applicant : Eisaku KATOH et al  
Filed : Concomitantly herewith  
For : MATERIAL FOR ORGANIC  
ELECTROLUMINESCENT ELEMENT,  
ORGANIC....  
Docket No. : 05270/HG  
Customer No.: 01933

Express Mail Mailing Label  
No.: EV 478 459 254 US  
Date of Deposit: April 21, 2005

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Lori Valdes

In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by check attached hereto, authorization to charge the extension fee, or any other fee required in connection with this Paper to Account No. 06-1378.

**REQUEST FOR PUBLICATION OF  
ASSIGNMENT INFORMATION**

COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450


S I R :

It is requested that the following assignment information be published as part of the 18 month publication of the present application:

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New York, NY 10017-2023  
Telephone: (212) 319-4900  
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HG/lpv

Respectfully submitted,

  
HERBERT GOODMAN  
Reg. No. 17,081